

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity: RCI INDUSTRIES AND TECHNOLOGIES LTD
2. Scrip Code/Name of Scrip/Class of Security 537254
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a. If under 31(1)(b) then indicate the report for Quarter ending 30-06-2021
b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information: -

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		
3 Whether the Listed Entity has any shares against which depository receipts are issued?		
4 Whether the Listed Entity has any shares in locked-in?		
5 Whether any shares held by promoters are pledge or otherwise encumbered?		

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table 1 - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (I)	Category of shareholder (II)	No.s of Shareholders (III)	No. of fully paid up equity Shares held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)		
								No. of Voting Rights		Total			No. (a)	As a % of total shares held (b)			No. (a)	As a % of total shares held (b)
								Class Equity X	Class Others Y									
(A)	Promoter & Promoter Group	9	10704615	0	0	10704615	68.2848	0	10704615	68.2848	0	7461810	69.7065	0	0.0000	10704615		
(B)	Public	4503	4971800	0	0	4971800	31.7152	0	4971800	31.7152	0	172000	3.4595	0	0	4968999		
(C)	Non Promoter-Non Public	0	0	0	0	0	0.0000	0	0	0.0000	0	0	0.0000	0	NA	0		
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0.0000	0	0	0.0000	0	NA	0		
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0.0000	0	0	0.0000	0	NA	0		
	Total	4512	15676415	0	0	15676415	100.0000	0	15676415	100.0000	0	7633810	48.6961	0	NA	15673614		



Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (i)	PAN (ii)	No of Share holders (iii)	No of fully paid up equity Shares held (iv)	Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital (XI) = (VII) + (X) As a % of (A+B+C2)	Number Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)		
								Class Equity X	Class Others Y							
1 Indian																
(a) Individuals/Hindu undivided Family																
HEM BALA GUPTA	AAAPG5066E	1	30	0	0	30	0.0002	0	0	30	0.0002	0	0	0.0000	0	0.0000
RAJEEV GUPTA	AAIPG4250C	1	6296880	0	0	6296880	40.1679	0	0	6296880	40.1679	0	0	0.0000	0	0.0000
MAMITA GUPTA	AFOPG6419E	1	1164930	0	0	1164930	7.4311	0	0	1164930	7.4311	0	0	0.0000	0	0.0000
RITIKA GUPTA	ARRPG5380K	1	2625	0	0	2625	0.0167	0	0	2625	0.0167	0	0	0.0000	0	0.0000
Total		4	7464465	0	0	7464465	47.6159	0	0	7464465	47.6159	0	0	0.0000	0	0.0000
(b) Central Government/State Government(s)																
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000
(c) Financial Institutions/Banks																
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000
(d) Any Other (BODIES CORPORATE)																
METALROD LIMITED	AAACM8295Q	1	812500	0	0	812500	5.1829	0	0	812500	5.1829	0	0	0.0000	0	0.0000
PARBRAHM IMPEX & TRADERS PRIVATE LIMITED	AAACP6979N	1	595750	0	0	595750	3.8003	0	0	595750	3.8003	0	0	0.0000	0	0.0000
BLOSSOM IMPEX PRIVATE LTD.	AACCB8517C	1	30000	0	0	30000	0.1914	0	0	30000	0.1914	0	0	0.0000	0	0.0000
KAY KAY EXIM PRIVATE LIMITED	AACCK8866B	1	50000	0	0	50000	0.3190	0	0	50000	0.3190	0	0	0.0000	0	0.0000
ACE MATRIX SOLUTIONS LIMITED	AADCA6670J	1	1751900	0	0	1751900	11.1754	0	0	1751900	11.1754	0	0	0.0000	0	0.0000
Total		5	3240150	0	0	3240150	20.6689	0	0	3240150	20.6689	0	0	0.0000	0	0.0000
Sub-Total (A)(1)		9	10704615	0	0	10704615	68.2848	0	0	10704615	68.2848	0	0	0.0000	0	0.0000
2 Foreign																
(a) Individuals(Non-Resident Individuals/Foreign Individuals)																
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000
(b) Government																
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000
(c) Institutions																
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000
(d) Foreign Portfolio Investor																
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000
Sub-Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		9	10704615	0	0	10704615	68.2848	0	0	10704615	68.2848	0	0	0.0000	0	0.0000
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in unclaimed suspense account, voting rights which are frozen etc.																



Table I STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS

Category & Name of the shareholders (i)	PAN (ii)	No.s of Share holders (iii)	No. of fully paid up equity Share held (iv)	Partly paid-up equity Share held (v)	No.s of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (v) + (vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Total Shareholding as a % assuming full conversion of convertible securities as a percentage of diluted share capital (xi) = (vii) + (x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialize form (xiv)		
								Class Equity	Class Others	Total			No. (a)	As a % of total shares held (b)			No. (Not applicable) (a)	As a % of total shares held (b)
1 Institutions																		
(a) Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
(e) Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
(f) Financial Institutions/Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
SUB TOTAL (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
2 Central / State government(s)																		
(a) Central Government/ State Government of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
SUB TOTAL (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			
3 Non-institutions																		
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4182	2181268	0	0	2181268	13.9143	2181268	0	2181268	13.9143	0	0.0000	NA	2178467			
(a) INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		18	1951743	0	0	1951743	12.4502	1951743	0	1951743	12.4502	172000	8.8125	NA	1951743			
ARUN KUMAR JAIN	AAAPJ1302J	1	719712	0	0	719712	4.5910	719712	0	719712	4.5910	0	0.0000	0	719712			
SMITA JAIN	AADPJ6791Q	1	216000	0	0	216000	1.3779	216000	0	216000	1.3779	172000	79.6296	0	216000			
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	0			
(c) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	0			
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	0			
(e) Any Other (BODIES CORPORATE)		41	569890	0	0	569890	3.6353	569890	0	569890	3.6353	0	0.0000	NA	569890			
HARSHIT FINVEST PVT. LTD.	AAACH1639R	1	247058	0	0	247058	1.5760	247058	0	247058	1.5760	0	0.0000	0	247058			
(e) Any Other (CLEARING MEMBER)		17	13350	0	0	13350	0.0852	13350	0	13350	0.0852	0	0.0000	NA	13350			
(e) Any Other (HINDU UNDIVIDED FAMILY)		154	161516	0	0	161516	1.0303	161516	0	161516	1.0303	0	0.0000	NA	161516			
(e) Any Other (NON RESIDENT INDIANS (NON REPAT))		26	17251	0	0	17251	0.1100	17251	0	17251	0.1100	0	0.0000	NA	17251			
(e) Any Other (NON RESIDENT INDIANS (REPAT))		64	76194	0	0	76194	0.4860	76194	0	76194	0.4860	0	0.0000	NA	76194			
(e) Any Other (TRUSTS)		1	588	0	0	588	0.0038	588	0	588	0.0038	0	0.0000	NA	588			
SUB TOTAL (B)(3)		4503	4971800	0	0	4971800	31.7152	4971800	0	4971800	31.7152	172000	3.4595	NA	4968999			
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		4503	4971800	0	0	4971800	31.7152	4971800	0	4971800	31.7152	172000	3.4595	0	4968999			

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0
 Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to be disclosed along with the name of following persons:
 Institutions/Non Institutions holding more than 1% of total number of shares.



Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	PAN (II)	No. of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (VI)+(V)+(I)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		As a % of total shares held (b)			No. (Not applicable) (a)	As a % of total shares held (Not applicable)			
								Class Equity	Class Others						No. (a)	As a % of total shares held (b)	
1 Custodian/DR Holder																	
Total Non Promoter- Non Public Shareholding (C)=(I)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.



Table V - STATEMENT SHOWING DETAILS OF SIGNIFICANT BENEFICIAL OWNERS

Sr. No	Details of the significant beneficial owner (i)		Details of the registered owner (ii)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* (iii)				Date of creation / acquisition of significant beneficial interest* (iv)	
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Whether by virtue of:		Exercise of significant influence
							Voicing rights %	Rights on distributable dividend or any other distribution	Exercise of control	

