

# Holding of Specified Securities

Annexure - I

1. Name of Listed Entity: RCI INDUSTRIES AND TECHNOLOGIES LTD  
2. Scrip Code/Name of Scrip/Class of Security | 537254  
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)  
a. If under 31(1)(b) then indicate the report for Quarter ending 30-09-2021  
b. If under 31(1)(c) then indicate date of allotment/extinguishment  
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		
2 Whether the Listed Entity has issued any Convertible Securities ?		
3 Whether the Listed Entity has issued any Warrants ?		
4 Whether the Listed Entity has any shares against which depository receipts are issued?		
5 Whether the Listed Entity has any shares in locked-in?		
6 Whether any shares held by promoters are pledge or otherwise encumbered?		
7 Whether company has equity shares with differential voting rights?		
8 Whether the listed entity has any significant beneficial owner?		

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.





Table 1 - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category of Shareholder (i)	No.s of Share holder (iii)	No. of fully paid up equity Share held (iv)	No.s of Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (xi) = (vii)+(x) As a % of (A+B+C2)	Number of Locked in Shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
							Class Equity x	Class Others y	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A) Promoter & Promoter Group	9	10704615	0	0	10704615	68.2848	10704615	0	10704615	68.2848	0	68.2848	2128000	19.8793	0	0.0000	10704615
(B) Public	4400	4971800	0	0	4971800	31.7152	4971800	0	4971800	31.7152	0	31.7152	112000	2.2527	0	0	4968999
(C) Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C1) Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2) Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total	4409	15676415	0	0	15676415	100.0000	15676415	0	15676415	100.0000	0	100.0000	2240000	14.2890			15673614





Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (i)	Entity Type	PAN (ii)	No of Share holders (iii)	No of fully paid up equity Shares held (iv)	Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including diluting share capital)	Shareholding as a % assuming full conversion of convertible securities as a % of diluted share capital)	Number of Locked in Shares (xii)	Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)	
									Class Equity	Class Others	Total						
<b>1 Indian</b>																	
<b>(a) Individuals/Hindu undivided Family</b>																	
HEM BALA GUPTA		AAAPG5066E	1	30	0	0	30	0.0002	30	0	30	0.0002	0	0.0002	0	0.0000	30
RAJEEV GUPTA		AAIPG4250C	1	6296880	0	0	6296880	40.1679	6296880	0	6296880	40.1679	0	40.1679	1532160	24.3321	6296880
MAMTA GUPTA		AFOPG6419E	1	1164930	0	0	1164930	7.4311	1164930	0	1164930	7.4311	0	7.4311	595840	51.1481	1164930
RITIKA GUPTA		ARRPG5380K	1	2625	0	0	2625	0.0167	2625	0	2625	0.0167	0	0.0167	0	0.0000	2625
Total			4	7464465	0	0	7464465	47.6159	7464465	0	7464465	47.6159	0	47.6159	2128000	28.5084	7464465
<b>(b) Central Government/State Government(s)</b>																	
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
<b>(c) Financial Institutions/Banks</b>																	
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
<b>(d) Any Other(BODIES CORPORATE)</b>																	
METALROD LIMITED		AAACM8295Q	1	812500	0	0	812500	5.1829	812500	0	812500	5.1829	0	5.1829	0	0.0000	812500
PARBRAHM IMPEX & TRADERS PRIVATE LIMITED		AAACP6979N	1	595750	0	0	595750	3.8003	595750	0	595750	3.8003	0	3.8003	0	0.0000	595750
BLOSSOM IMPEX PRIVATE LTD.		AACCB8517C	1	30000	0	0	30000	0.1914	30000	0	30000	0.1914	0	0.1914	0	0.0000	30000
KAY KAY EXIM PRIVATE LIMITED		AACCK8866B	1	50000	0	0	50000	0.3190	50000	0	50000	0.3190	0	0.3190	0	0.0000	50000
ACE MATRIX SOLUTIONS LIMITED		AADCA6670J	1	1751900	0	0	1751900	11.1754	1751900	0	1751900	11.1754	0	11.1754	0	0.0000	1751900
Total			5	3240150	0	0	3240150	20.6689	3240150	0	3240150	20.6689	0	20.6689	0	0.0000	3240150
Sub-Total (A+V)			9	10704615	0	0	10704615	68.2848	10704615	0	10704615	68.2848	0	68.2848	2128000	19.8793	10704615
<b>2 Foreign</b>																	
<b>(a) Individuals(Non-Resident Individuals/Foreign Individuals)</b>																	
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
<b>(c) Institutions</b>																	
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
Sub-Total (A+V2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoters			9	10704615	0	0	10704615	68.2848	10704615	0	10704615	68.2848	0	68.2848	2128000	19.8793	10704615







Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (i)	PAN (ii)	No.s of Share holders (iii)	No. of fully paid up Share (iv)	Partly paid-up equity Share (v)	No.s of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iii)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Total Shareholding, assuming full conversion of convertible securities (as a percentage of diluted)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)		
								Class Equity	Class Others	Total			As a % of total shares held (a)	As a % of total shares held (b)				
<b>1 Institutions</b>																		
(a) Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e) Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(f) Financial Institution/Banks		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
SUB TOTAL (B)(1)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
<b>2 Central / State government(s)</b>																		
(a) Central Government/ State Government(s)/President of India		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
SUB TOTAL (B)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
<b>3 Non-institutions</b>																		
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4106	2129820	0	0	2129820	13.5861	2129820	0	2129820	13.5861	0	13.5861	0	0.0000	NA	NA	2127019
(a) INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		21	2025738	0	0	2025738	12.9222	2025738	0	2025738	12.9222	0	12.9222	112000	5.5288	NA	NA	2025738
ARUN KUMAR JAIN	AAAPJ1302	1	719712	0	0	719712	4.5910	719712	0	719712	4.5910	0	4.5910	0	0.0000	0	0	719712
SMITA JAIN	AADP16791	1	216000	0	0	216000	1.3779	216000	0	216000	1.3779	112000	51.8519	0	0	0	0	216000
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Any Other(BODIES CORPORATE)		37	556049	0	0	556049	3.5470	556049	0	556049	3.5470	0	3.5470	0	0.0000	NA	NA	556049
HARSHIT FINVEST PVT. LTD	AAACH1639	1	247058	0	0	247058	1.5760	247058	0	247058	1.5760	0	1.5760	0	0.0000	0	0	247058
(e) Any Other(CLEARING MEMBER)		9	8340	0	0	8340	0.0532	8340	0	8340	0.0532	0	0.0532	0	0.0000	NA	NA	8340
(e) Any Other(HINDU UNDIVIDED FAMILY)		143	169372	0	0	169372	1.0804	169372	0	169372	1.0804	0	1.0804	0	0.0000	NA	NA	169372
(e) Any Other(NON RESIDENT INDIANS (NON REPAT))		22	12717	0	0	12717	0.0811	12717	0	12717	0.0811	0	0.0811	0	0.0000	NA	NA	12717
(e) Any Other(NON RESIDENT INDIANS (REPAT))		61	69176	0	0	69176	0.4413	69176	0	69176	0.4413	0	0.4413	0	0.0000	NA	NA	69176







Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category & Name of the shareholders (i)	PAN (ii)	No. of Share holders (iii)	No. of fully paid up equity Share held (iv)	Partly paid-up equity Share held (v)	No.s of shares underlying Depository Receipts (vi)	Total no. shares held (vii) = (iv)+(v)+(vi)	Shareholding % as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Total Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital (xi) = (viii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights	Class Equity	Class Others			Total	Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)		No. (Not applicable) (c)
<b>1 Custodian/DR Holder</b>																		
Total Non Promoter- Non Public Shareholding (C)=(X)+(C)(2)	0	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	NA	NA	0

Note  
 (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.



