

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity: RCI INDUSTRIES AND TECHNOLOGIES LTD

2. Scrip Code/Name of Scrip/Class of Security

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)

a. If under 31(1)(b) then indicate the report for Quarter ending 31-12-2021

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		
2 Whether the Listed Entity has issued any Convertible Securities ?		
3 Whether the Listed Entity has issued any Warrants ?		
4 Whether the Listed Entity has any shares against which depository receipts are issued?		
5 Whether the Listed Entity has any shares in locked-in?		
6 Whether any shares held by promoters are pledge or otherwise encumbered?		
7 Whether company has equity shares with differential voting rights?		
8 Whether the listed entity has any significant beneficial owner?		

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category of shareholder (I)	No.s of Share holders (III)	No. of fully paid up equity Shares held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights				Total	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
							Class Equity x	Class Others y	Total							
(A) Promoter & Promoter Group	9	10704615	0	0	10704615	68.2848	10704615	0	10704615	68.2848	2128000	19.8793	0	0.0000	10704615	
(B) Public	4318	4971800	0	0	4971800	31.7152	4971800	0	4971800	31.7152	112000	2.2527	0	0	4968999	
(C) Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0	0	
(C1) Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	0	0	0	
(C2) Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0	0	
Total	4327	15676415	0	0	15676415		15676415	0	15676415	100.0000	2240000	14.2890	0	0	15673614	



Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (i)	Entity Type	PAN (ii)	No of Share holder (iii)	No of fully paid up equity Shares held (iv)	Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities as a % of diluted share capital)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
									Class Equity	Class Others	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
1 Indian																			
(a) Individuals/Hindu undivided Family																			
HEM BALA GUPTA		AAAPG5066E	1	30	0	0	30	0.0002	30	0	0	0.0002	0	0.0000	0	0.0000	0	0.0000	30
RAJEEV GUPTA		AAIPG4250C	1	6296880	0	0	6296880	40.1679	6296880	0	0	6296880	40.1679	1532160	24.3321	0	0.0000	6296880	
MAMITA GUPTA		AFOPG6419E	1	1164930	0	0	1164930	7.4311	1164930	0	0	1164930	7.4311	595840	51.1481	0	0.0000	1164930	
RITIKA GUPTA		ARRPG5380K	1	2625	0	0	2625	0.0167	2625	0	0	2625	0.0167	0	0.0000	0	0.0000	2625	
Total			4	7464465	0	0	7464465	47.6159	7464465	0	0	7464465	47.6159	2128000	28.5084	0	0.0000	7464465	
(b) Central Government/State Government(s)																			
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions/Banks																			
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other BODIES CORPORATE																			
METALROD LIMITED		AAACM8295Q	1	812500	0	0	812500	5.1829	812500	0	0	812500	5.1829	0	0.0000	0	0.0000	812500	
PARBRAHM IMPEX & TRADERS		AAACP6979N	1	595750	0	0	595750	3.8003	595750	0	0	595750	3.8003	0	0.0000	0	0.0000	595750	
BLOSSOM IMPEX		AACCB8517C	1	30000	0	0	30000	0.1914	30000	0	0	30000	0.1914	0	0.0000	0	0.0000	30000	
KAY KAY EXIM PRIVATE LIMITED		AACCK8866B	1	50000	0	0	50000	0.3190	50000	0	0	50000	0.3190	0	0.0000	0	0.0000	50000	
ACE MATRIX SOLUTIONS		AADCA6670J	1	1751900	0	0	1751900	11.1754	1751900	0	0	1751900	11.1754	0	0.0000	0	0.0000	1751900	
Total			5	3240150	0	0	3240150	20.6689	3240150	0	0	3240150	20.6689	0	0.0000	0	0.0000	3240150	
Sub-Total (A)1			9	10704615	0	0	10704615	68.2848	10704615	0	0	10704615	68.2848	2128000	19.8793	0	0.0000	10704615	
2 Foreign																			
(a) Individuals(Non-Resident Individuals/Foreign Individuals)																			
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions																			
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub-Total (A)2			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoters			9	10704615	0	0	10704615	68.2848	10704615	0	0	10704615	68.2848	2128000	19.8793	0	0.0000	10704615	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN will not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.





Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (f)	PAN (II)	No.s of Shareholders (III)	No. of fully paid up Share held (IV)	Partly paid-up equity Share held (V)	Total nos. shares held (VI)= (IV)+(V)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (VII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Shares Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class Equity	Class Others	Total			No. (a)	As a % of total shares held (b)	No. (c)	As a % of total shares held (d)	
1 Institutions																
(a) Mutual Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(b) Venture Capital Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c) Alternate Investment Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d) Foreign Venture Capital Investors		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e) Foreign Portfolio Investors		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(f) Financial Institutions/Banks		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(g) Insurance Companies		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(h) Provident Funds/ Pension Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
SUB TOTAL (B)(1)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
2 Central / State government(s)																
(a) Central Government/ State Government(s)/President of India		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
SUB TOTAL (B)(2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
3 Non-Institutions																
(a. Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4025	2092595	0	0	13.3487	2092595	0	2092595	13.3487	0	13.3487	NA	NA	2089794	
(a. INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		23	2075090	0	0	13.2370	2075090	0	2075090	13.2370	112000	5.3974	NA	NA	2075090	
ARUN KUMAR JAIN	AAAPJ1302J	1	719712	0	0	4.5910	719712	0	719712	4.5910	0	0.0000	0	0	719712	
SMITA JAIN	AADPJ679TQ	1	216000	0	0	1.3779	216000	0	216000	1.3779	112000	51.8519	0	0	216000	
(b) NBFCs registered with RBI		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c) Employee Trusts		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e) Any Other(BODIES CORPORATE)		36	554049	0	0	3.5343	554049	0	554049	3.5343	0	0.0000	NA	NA	554049	
HARSHIT FINVEST PVT. LTD.	AAACH1639R	1	247058	0	0	1.5760	247058	0	247058	1.5760	0	0.0000	0	0	247058	
(e) Any Other(CLEARING MEMBER)		12	14337	0	0	0.0915	14337	0	14337	0.0915	0	0.0000	NA	NA	14337	
(e) Any Other(HINDU UNDIVIDED FAMILY)		142	169097	0	0	1.0787	169097	0	169097	1.0787	0	0.0000	NA	NA	169097	
(e) Any Other(NON RESIDENT INDIANS (NON REPAT))		23	13015	0	0	0.0830	13015	0	13015	0.0830	0	0.0000	NA	NA	13015	
(e) Any Other(NON RESIDENT INDIANS (REPAT))		56	53029	0	0	0.3383	53029	0	53029	0.3383	0	0.0000	NA	NA	53029	
(e) Any Other(TRUSTS)		1	588	0	0	0.0038	588	0	588	0.0038	0	0.0000	NA	NA	588	
SUB TOTAL (B)(3)		4318	4971800	0	0	31.7152	4971800	0	4971800	31.7152	112000	2.2527	NA	NA	4968999	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		4318	4971800	0	0	31.7152	4971800	0	4971800	31.7152	112000	2.2527	0	NA	4968999	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0
 Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
 Note:
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to be disclosed along with the name of following persons:
 Institutions/Non Institutions holding more than 1% of total number of shares.

Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category & Name of the Shareholders (I)	PAN (II)	No. of Share holders (III)	No. of fully paid up Share held (IV)	Partly paid-up equity Share held (V)	No.s of underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class Equity (x)	Class Others (y)	Total								
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	
Total Non Promoter- Non Public Shareholding (C)=(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.



Table V - STATEMENT SHOWING DETAILS OF SIGNIFICANT BENEFICIAL OWNERS

Sr. No	Details of the significant beneficial owner (i)				Details of the registered owner (ii)				Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect * (iii)				Date of creation / acquisition of significant beneficial interest* (iv)
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution	Exercised of control	Exercised of significant influence		

